

FORM PTO 1449 ( <i>modified</i> )  U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Submitted: March 28, 2008		ATTY DOCKET NO. <b>4002-3359/PC635.02</b> <b>(03190.000008)</b>		APPLICATION NO. <b>10/620,529</b>	
		APPLICANT <b>Lukas EISERMANN et al.</b>			
		FILING DATE <b>July 16, 2003</b>		GROUP <b>3733</b>	

  

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		2 263 842	07/04/1974	Germany			
		2 724 108	03/08/1996	France			
		01/01893	01/11/2001	WIPO			Abstract
		0599 419	06/01/1994	Europe			Abstract
		2261446	10/24/1990	Japan			Abstract
		28 04 936	02/08/1979	Germany			Abstract
		624573	08/14/1981	Germany			
		0 333 990	07/21/1993	Europe			
		2 742 653	06/27/1997	France			
		2 737 656	02/14/1997	France			
		97/23175	07/03/1997	WIPO			Abstract
		30 23 353	04/09/1981	Germany			Yes

  

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Jack Zigler, et al., <i>Results of the Prospective, Randomized, Multicenter Food and Drug Administration Investigational Device Exemption Study of the ProDisc®-L Total Disc Replacement Verses Circumferential Fusion for the Treatment of 1-Level Degenerative Disc Disease</i> , SPINE, Vol. 32, No. 33, 1155-1162 (2007).
	T. Hoogland, et al., <i>Total Lumbar Intervertebral Disc Replacement: Testing of a New Articulating Spacer in Human Cadaver Spine</i> , 24 <sup>th</sup> Annual ORS, Dallas, Texas, February 21-23, 102 (1978).
	Russel C. Huang, et al., <i>Long-Term Flexion-Extension Range of Motion of the Prodisc Total Disc Replacement</i> , Journal of Spinal Disorders & Techniques, Vol. 16, No. 5, 435-440 (2003).
	David S. Hungerford, et al., M.D., et al, eds., <i>Total Knee Arthroplasty: A Comprehensive Approach</i> , Chapter 5 (1984).
	Patrick Tropiano, et al., <i>Lumbar Disc Replacement: Preliminary Results with ProDisc II After a Minimum Follow-up Period of 1 year</i> , J. of Spinal Disorders & Techniques, Vol. 16, No. 4, 362-368 (2003).

  

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,482,233	11/19/2002	Aebi et al.			
		6,102,954	08/15/2000	Albrektsson et al.			
		5,658,335	08/19/1997	Allen			
		6,368,353	04/09/2002	Arcand			
		5,876,447	03/02/1999	Arnett			
		6,022,376	02/08/2000	Assell et al.			
		6,010,502	01/04/2000	Bagby			
		6,371,986	04/16/2002	Bagby			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Mark Szpalski, et al., <i>Spine Arthroplasty: a historical review</i> , Eur Spine J (2002).					
		Anthony G. Viscogliosi, et al., <i>Spine Arthroplasty: Spine Industry Analysis Series</i> , Viscogliosi Bros., LLC, (Nov. 2001).					
		Jeanette E. Ahren, Ph.D., et al., <i>Normal Joint Mobility is Maintained with an Artificial Disc Prosthesis</i> , LINK (1999).					
		Patrick Tropiano, M.D., et al., <i>Lumbar Total Disc Replacement Surgical Technique</i> , J. Bone & Surgery, Inc., Vol. 88-A, Supp. I, Part 1 (March 2006).					
		<i>Backup: Spinal Arthroplasty</i> , Springer (Jan. 2001).					
		<i>Backup: Isthmic Spondylolistheses: Reduction versus In-Situ Fusion</i> , Springer (Feb. 2002).					
		<i>Backup: Early Experience with PRODISC in United States</i> , Springer (Mar. 2002).					
		David S. Hungerford, M.D., et al., <i>Preliminary Experience with a Total Knee Prosthesis with Porous Coating Used without Cement</i> , Clinical Orthopedics and Related Research, No. 176, June 1983, pp. 95-107.					
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		5,282,868	02/01/1994	Bahler			
		5,674,294	10/07/1997	Bainville et al.			
		5,865,848	02/02/1999	Baker			
		5,271,737	12/21/1993	Baldwin et al.			
		6,203,923	03/20/2001	Bansleben et al.			
		5,047,055	09/10/1991	Bao et al.			
		5,192,326	03/09/1993	Bao et al.			
		5,534,028	07/09/1996	Bao et al.			
		5,976,186	11/02/1999	Bao et al.			
		5,236,460	08/17/1993	Barber			
		6,582,715	06/24/2003	Barry et al.			
		5,171,280	12/15/1992	Baumgartner			
		5,320,644	06/14/1994	Baumgartner			
		5,370,697	12/06/1994	Baumgartner			
		5,755,797	05/26/1998	Baumgartner			
		5,888,224	03/30/1999	Beckers et al.			
		5,458,642	10/17/1995	Beer et al.			
		6,235,059	05/22/2001	Benezech et al.			
		6,214,005	04/10/2001	Benzel et al.			
		5,480,442	01/02/1996	Bertagnoli			
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		5,571,109	11/05/1996	Bertagnoli			
		5,725,582	03/10/1998	Bevan et al.			
		7,118,580	10/10/2006	Beyersdorff et al.			
		6,033,438	03/07/2000	Bianchi et al.			
		5,609,637	03/11/1997	Biedermann et al.			
		5,702,451	12/30/1997	Biedermann et al.			
		5,972,031	10/26/1999	Beidermann et al.			
		5,989,290	11/23/1999	Biedermann et al.			
		6,102,949	08/15/2000	Biedermann et al.			
		5,702,450	12/30/1997	Bisserie			
		5,344,458	09/06/1994	Bonutti			
		6,099,531	08/08/2000	Bonutti			
		4,875,474	10/24/1989	Border			
		6,159,211	12/12/2000	Boriani et al.			
		5,146,933	09/15/1992	Boyd			
		6,277,149	08/21/2001	Boyle et al.			
		5,192,327	03/09/1993	Brantigan			
		5,425,772	06/20/1995	Brantigan			
		5,766,253	06/16/1998	Brosnahan, III			
		6,102,948	08/15/2000	Brosnahan, III			
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		5,674,296	10/07/1997	Bryan et al.			
		5,865,846	02/02/1999	Bryan et al.			
		6,001,130	12/14/1999	Bryan et al.			
		5,507,816	04/16/1996	Bullivant			
		5,282,863	02/01/1994	Burton			
		5,827,328	10/27/1998	Buttermann			
		6,086,613	07/11/2000	Camino et al.			
		3,510,883	05/12/1970	Cathcart III			
		5,373,860	12/20/1994	Catone			
		6,299,642	10/09/2001	Chan			
		6,129,763	10/10/2000	Chauvin et al.			
		5,964,807	10/12/1999	Gan et al.			
		6,240,926	06/05/2001	Chin Gan et al.			
		5,397,361	03/14/1995	Clark			
		5,888,222	03/30/1999	Coates et al.			
		5,989,289	11/23/1999	Coates et al.			
		5,443,515	08/22/1995	Cohen et al.			
		5,509,934	04/23/1996	Cohen			
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		5,385,583	01/31/1995	Cotrel			
		5,651,789	07/29/1997	Cotrel			
		5,702,486	12/30/1997	Craig et al.			
		6,277,150	08/21/2001	Crawley et al.			
		5,879,385	03/09/1999	Crockard et al.			
		5,037,438	08/06/1991	Davidson			
		4,892,545	01/09/1990	Day et al.			
		3,903,549	09/09/1975	Deyerle			
		Des. 243,286	02/01/1977	Deyerle			
		4,874,389	10/17/1989	Downey			
		5,035,716	07/30/1991	Downey			
		5,147,404	09/15/1992	Downey			
		6,149,651	11/21/2000	Drewry et al.			
		6,096,079	08/01/2000	Eaton			
		6,283,998	09/04/2001	Eaton			
		2004/0220670	11/04/2004	Eisermann et al.			
		4,805,607	02/21/1989	Engelhardt et al.			
		5,496,371	03/05/1996	Eppey et al.			
		6,419,705	07/16/2002	Erickson			
		5,653,763	08/05/1997	Errico et al.			
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		5,904,719	05/18/1999	Errico et al.			
		5,405,393	04/11/1995	Falkenström			
		6,248,131	06/19/2001	Felt et al.			
		6,443,988	09/03/2002	Felt et al.			
		5,571,191	11/05/1996	Fitz			
		Re. 36,758	06/27/2000	Fitz			
		6,193,757	02/27/2001	Foley et al.			
		5,002,576	03/26/1991	Fuhrmann et al.			
		5,964,807	10/12/1999	Gan et al.			
		5,397,363	03/14/1995	Gelbard			
		5,766,254	06/16/1998	Gelbard			
		5,888,221	03/30/1999	Gelbard			
		5,195,951	03/23/1993	Giampapa			
		5,421,831	06/06/1995	Giampapa			
		5,683,463	11/04/1997	Godefroy et al.			
		5,549,680	08/27/1996	Gordon			
		6,146,421	11/14/2000	Gordon et al.			
		5,562,737	10/08/1996	Graf			
		6,241,771	06/05/2001	Gresser et al.			
		5,766,196	06/16/1998	Griffiths			
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		6,096,081	08/01/2000	Grivas et al.			
		5,306,308	04/26/1994	Gross et al.			
		3,740,769	06/26/1973	Haboush			
		5,893,889	04/13/1999	Harrington			
		4,759,769	07/26/1988	Hedman et al.			
		5,383,931	01/24/1995	Hehli et al.			
		5,766,199	06/16/1998	Heisler et al.			
		5,405,391	04/11/1995	Henderson et al.			
		6,066,175	05/23/2000	Henderson et al.			
		5,766,252	06/16/1998	Henry et al.			
		4,946,378	08/07/1990	Hirayama et al.			
		6,045,579	04/04/2000	Hochshuler et al.			
		6,080,193	06/27/2000	Hochshuler et al.			
		6,132,466	10/17/2000	Hoffman et al.			
		4,936,863	06/26/1990	Hofmann			
		6,171,339	01/09/2001	Houfburg et al.			
		6,214,050	04/10/2001	Huene			
		5,919,235	07/06/1999	Husson et al.			
		6,165,218	12/26/2000	Husson et al.			
		6,660,037	12/09/2003	Husson et al.			
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		5,755,796	05/26/1998	Ibo et al.			
		5,961,554	10/05/1999	Janson et al.			
		5,723,013	03/03/1998	Jeanson et al.			
		5,584,887	12/17/1996	Kambin			
		4,997,432	03/05/1991	Keller			
		5,122,130	06/16/1992	Keller			
		5,645,596	07/08/1997	Kim et al.			
		5,980,572	11/09/1999	Kim et al.			
		4,550,450	11/05/1985	Kinnett			
		5,888,228	03/20/1999	Knothe et al.			
		6,143,031	11/07/2000	Knothe et al.			
		5,061,285	10/29/1991	Koch			
		5,609,636	03/11/1997	Kohrs et al.			
		5,658,337	08/19/1997	Kohrs et al.			
		5,865,847	02/02/1999	Kohrs et al.			
		5,897,593	04/27/1999	Kohrs et al.			
		6,120,506	09/19/2000	Kohrs et al.			
		6,165,219	12/26/2000	Kohrs et al.			
		6,224,631	05/01/2001	Kohrs			
		5,290,312	03/01/1994	Kojimoto et al.			
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		5,980,522	11/09/1999	Koros et al.			
		5,397,364	03/14/1995	Kozak et al.			
		6,231,605	05/15/2001	Ku			
		4,349,921	09/21/1982	Kuntz			
		6,162,252	12/19/2000	Kuras et al.			
		5,458,638	10/17/1995	Kuslich et al.			
		5,489,308	02/06/1996	Kuslich et al.			
		5,549,679	08/27/1996	Kuslich			
		5,591,235	01/07/1997	Kuslich			
		6,149,686	11/21/2000	Kuslich et al.			
		RE37,479	12/18/2001	Kuslich			
		5,554,191	09/10/1996	Lahille et al.			
		6,074,423	06/13/2000	Lawson			
		6,146,422	11/14/2000	Lawson			
		4,976,737	12/11/1990	Leake			
		6,187,043	02/13/2001	Ledergerber			
		5,766,198	06/16/1998	Li			
		6,039,761	03/21/2000	Li et al.			
		5,716,416	02/10/1998	Lin			
		6,224,629	05/01/2001	Lin et al.			
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		6,325,827	12/04/2001	Lin			
		4,653,487	03/31/1987	Maale			
		5,062,850	11/05/1991	MacMillan et al.			
		4,932,975	06/12/1990	Main et al.			
		6,413,278	07/02/2002	Marchosky			
		4,622,959	11/18/1986	Marcus			
		6,251,140	06/26/2001	Marino et al.			
		6,290,724	09/18/2001	Marino			
		7,204,852	04/17/2007	Marnay et al.			
		6,132,464	10/17/2000	Martin			
		5,357,983	10/25/1994	Mathews			
		5,534,031	07/09/1996	Matsuzaki et al.			
		6,168,631	01/02/2001	Maxwell et al.			
		6,126,688	10/03/2000	McDonnell			
		5,702,449	12/30/1997	McKay			
		5,984,922	11/16/1999	McKay			
		6,146,420	11/14/2000	McKay			
		6,368,325	04/09/2002	McKinley et al.			
		6,093,205	07/25/2000	McLeod et al.			
		6,231,609	05/15/2001	Mehdzizadeh			
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				APPLICANT <b>Lukas EISERMANN et al.</b>			
				FILING DATE <b>July 16, 2003</b>		GROUP <b>3733</b>	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,928,284	07/27/1999	Mehdizadeh			
		6,090,143	07/18/2000	Meriwether et al.			
		5,413,602	05/09/1995	Metz-Stavenhagen			
		6,090,110	07/18/2000	Metz-Stavenhagen			
		6,193,755	02/27/2001	Metz-Stavenhagen et al.			
		5,522,899	06/04/1996	Michelson			
		6,080,155	06/27/2000	Michelson			
		6,123,705	09/26/2000	Michelson			
		6,149,650	11/21/2000	Michelson			
		6,241,770	06/05/2001	Michelson			
		6,302,914	10/16/2001	Michelson			
		6,923,810	08/02/2005	Michelson			
		6,136,031	10/24/2000	Middleton			
		6,187,048	02/13/2001	Milner et al.			
		5,380,328	01/10/1995	Morgan			
		5,489,305	02/06/1996	Morgan			
		5,534,030	07/09/1996	Navarro et al.			
		5,375,823	12/27/1994	Navas			
		5,445,650	08/29/1995	Nealis			
		6,096,080	08/01/2000	Nicholson et al.			
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		6,241,769	06/05/2001	Nicholson et al.			
		6,132,431	10/17/2000	Nilsson et al.			
		6,117,174	09/12/2000	Nolan			
		6,110,210	08/29/2000	Norton et al.			
		4,770,661	09/13/1988	Oh			
		5,458,643	10/17/1995	Oka et al.			
		6,273,914	08/14/2001	Papas			
		5,755,798	05/26/1998	Papavero et al.			
		4,470,158	09/11/1984	Pappas et al.			
		5,171,281	12/15/1992	Parsons et al.			
		5,545,229	08/13/1996	Parsons et al.			
		4,309,777	01/12/1982	Patil			
		6,143,033	11/07/2000	Paul et al.			
		6,019,793	02/01/2000	Perren et al.			
		5,876,457	03/02/1999	Picha et al.			
		6,071,310	06/06/2000	Picha et al.			
		6,106,558	08/22/2000	Picha			
		5,123,926	06/23/1992	Pisharodi			
		5,171,278	12/15/1992	Pisharodi			
		5,390,683	02/21/1995	Pisharodi			
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,658,336	08/19/1997	Pisharodi			
		5,693,100	12/02/1997	Pisharodi			
		5,893,890	04/13/1999	Pisharodi			
		6,093,207	07/25/2000	Pisharodi			
		6,264,655	07/24/2001	Pisharodi			
		6,309,421	10/30/2001	Pisharodi			
		5,728,157	03/17/1998	Prescott			
		5,218,975	06/15/1993	Prostkoﬀ			
		5,549,678	08/27/1996	Prostkoﬀ			
		5,702,453	12/30/1997	Rabbe et al.			
		6,344,057	02/05/2002	Rabbe et al.			
		5,458,641	10/17/1995	Ramirez Jimenez			
		5,562,736	10/08/1996	Ray et al.			
		5,674,295	10/07/1997	Ray et al.			
		5,824,093	10/20/1998	Ray et al.			
		6,113,639	09/05/2000	Ray et al.			
		6,132,465	10/17/2000	Ray et al.			
		6,520,990	02/18/2003	Ray			
		6,602,291	08/05/2003	Ray et al.			
		6,176,879	01/23/2001	Reischl et al.			
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,749,916	05/12/1998	Richelsoph			
		5,976,187	11/02/1999	Richelsoph			
		6,238,214	05/29/2001	Robinson			
		5,839,899	11/24/1998	Robinson			
		5,888,226	03/30/1999	Rogozinski			
		6,183,518	02/06/2001	Ross et al.			
		5,702,455	12/30/1997	Saggar			
		5,645,599	07/08/1997	Samani			
		6,113,602	09/05/2000	Sand			
		7,048,762	05/23/2006	Sander et al.			
		5,554,194	09/10/1996	Sanders			
		5,658,347	08/19/1997	Sarkisian et al.			
		5,895,426	04/20/1999	Scarborough et al.			
		6,045,580	04/04/2000	Scarborough et al.			
		6,547,823	04/15/2003	Scarborough et al.			
		5,800,547	09/01/1998	Schäfer et al.			
		6,143,032	11/07/2000	Schafer et al.			
		6,059,829	05/09/2000	Schläpfer et al.			
		5,571,192	11/05/1996	Schönhöffer			
		6,015,436	01/18/2000	Schönhöffer			
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,824,094	10/20/1998	Serhan et al.			
		5,800,550	09/01/1998	Sertich			
		6,039,763	03/21/2000	Shelokov			
		4,863,476	09/05/1989	Shepperd			
		5,534,029	07/09/1996	Shima			
		5,306,310	04/26/1994	Siebels			
		5,092,893	03/03/1992	Smith			
		5,108,442	04/28/1992	Smith			
		5,071,437	12/10/1991	Steffee			
		5,716,415	02/10/1998	Steffee			
		6,139,579	10/31/2000	Steffee et al.			
		6,348,071	02/19/2002	Steffee et al.			
		5,108,438	04/28/1992	Stone			
		5,258,043	11/02/1993	Stone			
		6,193,756	02/27/2001	Studer et al.			
		6,174,334	01/16/2001	Suddaby			
		6,183,517	02/06/2001	Suddaby			
		6,190,413	02/20/2001	Sutcliffe			
		5,593,444	01/14/1997	Svensson et al.			
		6,117,173	09/12/2000	Taddia et al.			
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		5,755,811	05/26/1998	Tanamal et al.			
		5,865,845	02/02/1999	Thalgott			
		5,861,041	01/19/1999	Tienboon			
		5,916,267	06/29/1999	Tienboon			
		6,350,284	02/26/2002	Törmälä et al.			
		4,681,589	07/21/1987	Tronzo			
		4,743,262	05/10/1988	Tronzo			
		6,375,681	04/23/2002	Truscott			
		5,571,190	11/05/1996	Ulrich et al.			
		6,102,950	08/15/2000	Vaccaro			
		6,423,095	06/23/2002	Van Hoeck et al.			
		5,989,292	11/23/1999	van Loon			
		6,179,875	01/30/2001	Von Stempel			
		5,609,634	03/11/1997	Voydeville			
		5,306,309	04/26/1994	Wagner et al.			
		5,683,464	11/04/1997	Wagner et al.			
		6,641,614	11/04/2003	Wagner et al.			
		6,706,070	03/16/2004	Wagner et al.			
		5,863,297	01/26/1999	Walter et al.			
		6,503,279	01/07/2003	Webb et al.			
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		6,371,987	04/16/2002	Weiland et al.			
		6,113,638	09/05/2000	Williams et al.			
		6,210,442	04/03/2001	Wing et al.			
		5,545,226	08/13/1996	Wingo et al.			
		5,868,098	10/19/1999	Winslow			
		6,083,225	07/04/2000	Winslow et al.			
		3,320,951	05/23/1967	Wittebol			
		6,106,527	08/22/2000	Wu et al.			
		6,190,414	02/20/2001	Young et al.			
		5,782,919	07/21/1998	Zdeblick et al.			
		5,984,967	11/16/1999	Zdeblick et al.			
		6,206,922	03/27/2001	Zdeblick et al.			
		6,402,785	06/11/2002	Zdeblick et al.			
		6,245,072	06/12/2001	Zdeblick et al.			
		6,179,873	01/30/2001	Zientek			
		6,045,552	04/04/2000	Zucherman et al.			
		6,074,390	06/13/2000	Zucherman et al.			
		6,068,630	05/30/2000	Zucherman et al.			
		6,090,112	07/18/2000	Zucherman et al.			
		6,156,038	12/05/2000	Zucherman et al.			
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